## **Format for Integrity Compliance Part A (at the time of filing the claim)**

To,

IFCI Ltd.

Project Management Agency for PLI Scheme for Bulk Drugs

IFCI Tower, 61, Nehru Place

New Delhi – 110019

**Reference:**

**Approval letter issued by IFCI in favour of \_\_\_\_\_\_\_\_\_\_\_\_\_ (Company/LLP/Partnership firm/Proprietorship name) under the Production Linked Incentive Scheme for Promoting domestic manufacturing of critical Key Starting Material/Drug Intermediates/ Active Pharmaceutical Ingredients in India vide letter no. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ dated \_\_\_\_\_\_\_\_\_\_\_.**

**Production Linked Incentive (PLI) Scheme for promoting domestic manufacturing of critical Key Starting Material/Drug Intermediates/ Active Pharmaceutical Ingredients in India notified vide Gazette Notification No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ dated \_\_\_\_\_\_\_\_\_ and PLI scheme Guidelines issued thereunder, as amended from time to time**

**Guidelines for the operation of Production Linked Incentive Scheme (PLI) for promoting domestic manufacturing of critical Key Starting Material/Drug Intermediates/ Active Pharmaceutical Ingredients in India dated 21st July, 2020 (revised on 29th October 2020 F. No. 31026/16/2020-Policy)**

**Subject: Integrity Compliance in Production Linked Incentive Scheme**

1. Whereas, the applicant namely \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*Company/LLP/Partnership firm/Proprietorship name)* has submitted an application under Production Linked Incentive Scheme (PLI) for promoting domestic manufacturing of critical Key Starting Material/Drug Intermediates/ Active Pharmaceutical Ingredients in India to Department of Pharmaceutical (DoP), Ministry of Chemical and Fertilizer, Government of India seeking incentive for the applications pertaining to manufacturing of eligible product \_\_\_\_\_\_\_\_\_\_\_(*target segment)* till dd/mm/yyyy at the following locations:
2. …………..
3. …………..
4. …………….
5. Now, therefore, the applicant including its officers /representatives commits and undertake that he will take all measures necessary to prevent corruption. He commits to observe the following principles during his association/engagement with DoP or its Agencies or its consultants engaged with the process of appraisal and verification of application for the approval of application and disbursement of incentives under PLI.
   1. The PLI applicant will not directly or through any other person or firm, offer, promise or give to any DoP’s officer(s) or consultant or agency representative (appraisal/verification agency appointed by DoP to handle the application) involved in the process of dealing with application or to any third person any material or other benefit which he/she is not legally entitled to in order to obtain in exchange in any advantage or any kind whatsoever before or during or after the process of the application for grant of approval or disbursement of incentives under PLI.
   2. The PLI applicant will not commit any offence under the relevant IPC/PC Act. Further, the applicant will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the DoP.
   3. The PLI applicant shall disclose the name and address of the duly authorised agents and representatives who will be dealing with DoP or its Agencies and the remuneration of these agents or representatives shall not include any hidden amount or component to get the work done in undue manner or causing inducement of whatsoever nature whether in cash or kind to influence the normal process or practice of work.
   4. The PLI applicant shall disclose any and all payments he has made, is committed to or intends to make to agents, brokers or any other intermediaries other than regular employees or officials of the applicant, in connection with the grant of approval or/and disbursement of incentives.
   5. The applicant will not offer any illicit gratification to obtain unfair advantage.
   6. The applicant will not collude with other parties to impair transparency and fairness.
   7. The applicant will not give any advantage to anyone in exchange for unprofessional behaviour.
6. The applicant declares that no previous transgressions occurred in the last three years with any other company in any country conforming to the anti-corruption approach or with any other Public Sector Enterprises/Central or State government or its any instrumentality in India.
7. The applicant agrees that if it is found that the applicant has made any incorrect statement on this subject, the application will be closed or rejected and DoP reserve the right to initiate legal action of whatsoever nature. In case if DoP has disbursed the incentives under the PLI, the amount disbursed to applicant be recoverable along with interest calculated at three years SBI MCLR prevailing on the date of disbursement, compounded annually besides blacklisting of the applicant and initiation of legal action of whatsoever nature at the discretion of DoP.

The contents of the above undertaking have been gone through and after understanding the same is being executed given on ……………day of Month, 2022.

For *Company Name*

**Name:**

**Designation: Director/CEO/MD**

**Place: Date:**

## **Format for Integrity Compliance Part B, (before release of incentive claim)**

To,

IFCI Ltd.

Project Management Agency for PLI Scheme for Bulk Drugs

IFCI Tower, 61, Nehru Place

New Delhi – 110019

**Reference:**

**Approval letter issued by IFCI in favour of \_\_\_\_\_\_\_\_\_\_\_\_\_ (Company/LLP/Partnership firm/Proprietorship name) under the Production Linked Incentive Scheme for Promoting domestic manufacturing of critical Key Starting Material/Drug Intermediates/ Active Pharmaceutical Ingredients in India vide letter no. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ dated \_\_\_\_\_\_\_\_\_\_\_.**

**Production Linked Incentive (PLI) Scheme for promoting domestic manufacturing of critical Key Starting Material/Drug Intermediates/ Active Pharmaceutical Ingredients in India notified vide Gazette Notification No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ dated \_\_\_\_\_\_\_\_\_ and PLI scheme Guidelines issued thereunder, as amended from time to time**

**Guidelines for the operation of Production Linked Incentive Scheme (PLI) for promoting domestic manufacturing of critical Key Starting Material/Drug Intermediates/ Active Pharmaceutical Ingredients in India dated 21st July, 2020 (revised on 29th October 2020 F. No. 31026/16/2020-Policy)**

**Subject: Integrity Compliance in Production Linked Incentive Scheme**

Whereas, the applicant namely *(name of Company/LLP/Partnership firm/Proprietorship with address)* has submitted an application under Production Linked Incentive Scheme (PLI) for promoting domestic manufacturing of critical Key Starting Material/Drug Intermediates/ Active Pharmaceutical Ingredients in India to Department of Pharmaceuticals (DoP), Ministry of Chemical and Fertilizers, Government of India seeking incentives for the application pertaining to manufacturing ………… (Eligible Product) at…………… (location(s)).

* 1. And whereas, the applicant has submitted an undertaking for observance and commitment for Integrity vide Undertaking dated……………given under the signatures / authority of applicants      ……………… (name and designation) to DoP in respect of aforesaid application.
  2. And whereas, the applicant including its officers / representatives gives commitment and undertake that he / she will take all measures necessary to prevent corruption and that he / she will not directly or through any other person or firm, offer, promise or give to any of the DoP's officer(s) or consultant or agency representative (appraisal or / and verification agency appointed by DoP to handle the application) involved in the process of dealing with application or to any third person any material or other benefit which he / she is not legally entitled to in order to obtain in exchange any advantage of any kind whatsoever before or during or after the process of the application for grant of approval or disbursement of incentives under PLI.
  3. And whereas, the application submitted by the applicant has been given the approval by DoP vide its communication no……………dated…………...
  4. And whereas, the applicant has submitted a claim for disbursement of incentive dated .... to the PMA for claiming incentives of INR…………….
  5. And whereas, the PMA has considered the claim for disbursement of incentive and is in the process of disbursement / release of incentives on the claim dated……………….
  6. Now, therefore, we hereby confirm the compliance thereof with the Integrity Undertaking submitted to DoP duly certifying that there is no breach to the same and requests that eligible incentives under PLI be released to applicant and the amount of incentives be credited in the bank account of applicant.
  7. The contents of the above Undertaking have been gone through and after duly understanding the same, is being executed / given on……………. day of……………. (month / year).

***Signature***

***(Name & designation with address) Director* / CEO /MD**

**Date:**

**Place:**